

# Minnesota Background Studies 2018-2019 Data Overview Part 2

The information above each section of tables is intended to provide context and understanding on what data is included in each respective section. There are [Additional Notes and Data Definitions](#) at the end of this packet with more information on specific terms and data points. The tables start at a high level and then progress into more detail in each section as data is disaggregated further.

## Section 1: Count of disqualified determinations and persons by race/ethnicity and year

[Section 1](#) includes the total counts of distinct determinations and persons with determination that is disqualifying or that had their disqualification rescinded and is now eligible by race/ethnicity and year. In the Data Overview Part 1, determinations were broken out by eligible and disqualifying. The determinations with their disqualification rescinded and now eligible were part of the eligible count in the last overview. These determinations have been included in this overview as they originally had a disqualification and therefore had a risk of harm assessed. The distinct counts of determinations in [Table 1](#) and distinct count of persons in [Table 2](#) represent all determinations that received a risk of harm assessment, which will be broken out in [Section 3](#) and [Section 4](#). The charts and tables in Section 2, Section 3, and Section 4 are all based on this same “universe” of determinations that are disqualifying or that had their disqualification rescinded and are now eligible.

All counts throughout this document are distinct counts, meaning they represent the unique number of determinations or persons in each given category. For example, when counting distinct persons, it is important to recognize that a person can have multiple determinations and those determinations can have different results. Therefore, one person could be counted in the “disqualified” category and the “disqualification rescinded – determination now eligible” category. Similarly, a person can have one or more determinations in multiple years, which means the totals for 2018 and 2019 in [Table 2](#) do not add up to the Grand Total. For example, if one person has a determination completed in 2018 and a determination completed in 2019, they will be counted in both the 2018 and 2019 rows, but will only be counted once in the Grand Total of distinct persons. All counts for a given date range (year in this case) were defined by determinations completed in that year. This date convention is used in all sections.

Table 1: Distinct count of disqualified and disqualification rescinded – determination now eligible by race/ethnicity and year

Year/Determination Outcome	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2018	2,686	153	419	32	590	3,774	7,654
Disqualifying	2,669	152	418	32	586	3,744	7,601
Disqualification Rescinded - Determination Now Eligible	17	<10	<10		<10	30	53
2019	4,907	281	619	258	1,041	5,851	12,957
Disqualifying	4,886	279	615	258	1,034	5,811	12,883
Disqualification Rescinded - Determination Now Eligible	21	<10	<10		<10	40	74
<b>Grand Total</b>	<b>7,593</b>	<b>434</b>	<b>1,038</b>	<b>290</b>	<b>1,631</b>	<b>9,625</b>	<b>20,611</b>

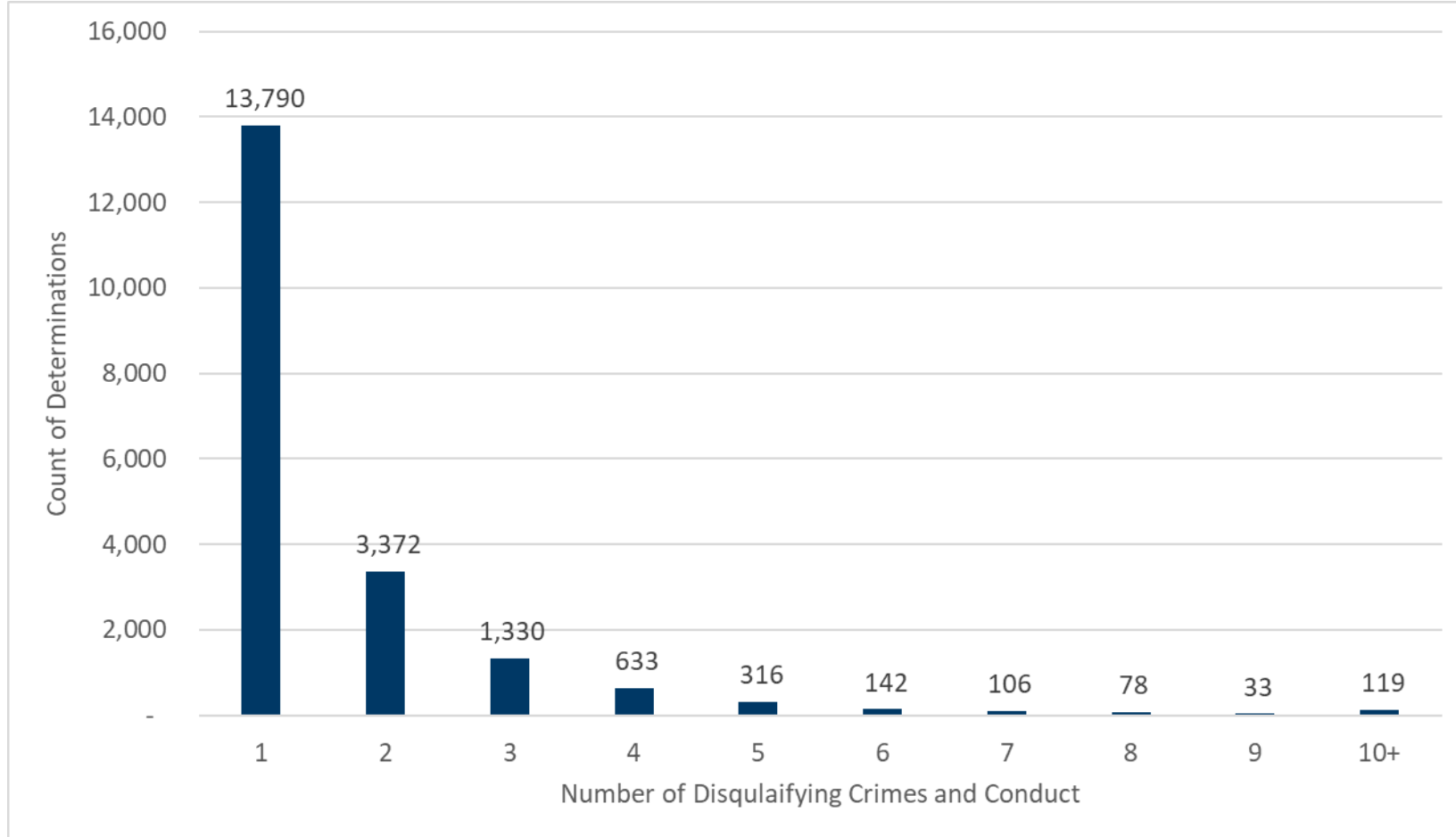
Table 2: Distinct count of persons with at least one disqualified or disqualification rescinded – determination now eligible determination by race/ethnicity and year

Year/Determination Outcome	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2018	1,755	123	295	30	439	2,697	5,339
Disqualifying	1,746	122	294	30	436	2,672	5,300
Disqualification Rescinded - Determination Now Eligible	10	<10	<10		<10	28	44
2019	2,886	199	441	242	710	3,972	8,450
Disqualifying	2,874	197	439	242	705	3,945	8,402
Disqualification Rescinded - Determination Now Eligible	16	<10	<10		<10	32	59
Grand Total	4,352	306	703	272	1,102	6,258	12,993

## Section 2: Number of Disqualifying Crimes and Conduct per Determination

The risk of harm assessed for a disqualifying determination is based, in part, on the “the number of disqualifying characteristics” ([Minn. Stat. sec. 245C.16 subd. 1](#)) which are captured as disqualifying crimes and conduct in the background studies database. [Chart 1](#) below provides a distribution of the number of disqualifying crimes and conduct for each disqualifying determination completed in 2018 and 2019. The chart shows, for example, that 13,790 determinations had one disqualifying crime or conduct and 119 determinations had 10 or more disqualifying crimes and conduct. The number of crimes and conduct on each determination plays a role in both the complexity of the determination and the risk of harm assessed for the determination.

Chart 1: Count of disqualifying or disqualification rescinded – determination now eligible determination determinations by number of disqualifying crimes and conduct, 2018-2019



### Section 3: Disqualified Individuals Risk of Harm

If a determination is disqualifying, the Department of Human Services is required to assess the immediate risk of harm the determination subject poses to the persons receiving services that the subject will have direct contact with or direct access to. [Minn. Stat. sec. 245C.16](#) lays out the factors that must be used in the risk of harm assessment. The risk of harm assessment can have three outcomes, which are captured in the background studies database as low, medium, and high:

- **Low:** It is determined that “an individual studied does not pose a risk of harm that requires continuous, direct supervision”. A low risk of harm individual is allowed to continue providing services during their reconsideration request period and has 15 days to request a reconsideration. ([Minn. Stat. sec. 245C.17 subd. 3](#))
- **Medium:** It is determined that “an individual studied poses a risk of harm that requires continuous, direct supervision”. A medium risk of harm individual is allowed to continue providing services under continuous and direct supervision during their reconsideration request period and has 30 days to request a reconsideration. ([Minn. Stat. sec. 245C.17 subd. 3](#))
- **High:** It is determined that “an individual studied poses an imminent risk of harm”. A high risk of harm individual must be immediately removed from service and has 30 days to request a reconsideration. ([Minn. Stat. sec. 245C.17 subd. 3](#))

In cases where an individual is not allowed to provide services until either an eligible determination, a set aside, or a variance has been obtained, the risk of harm is not applicable because an individual with a disqualifying determination cannot provide services regardless of their risk of harm unless a set aside or variance is obtained. Table 3, breaks out the total count of distinct persons in [Table 2](#) by the risk of harm assessed in their determination.

[Table 3](#) also breaks out each risk of harm category into determinations and Rapback (Record of Arrest and Prosecutions Back) determinations. As compared to determinations made based on provider applications, Rapback determinations are based on notifications DHS receives about new potentially disqualifying information for a background study subject with an active provider roster affiliation. Since one of the risk of harm factors laid out in [Minn. Stat. sec. 245C.16](#) is the recency of disqualifying characteristics, a Rapback determination is more likely to have a higher risk of harm than a comparable non-Rapback determination because its disqualifying characteristics are likely to be more recent.

Table 3: Distinct count of persons with at least one disqualified or disqualification rescinded – determination now eligible determination by race/ethnicity, risk of harm and year

Year/Risk of Harm/Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2018	1,755	123	295	30	439	2,697	5,339
Low	533	26	74	<10	143	1,049	1,832
Determinations	526	26	71		139	1,024	1,786
Rapback Determinations	10	<10	<10	<10	<10	29	56
Medium	502	33	89	14	132	955	1,725
Determinations	323	24	58		88	666	1,159
Rapback Determination	188	<10	31	14	46	307	595
High	197	14	16	<10	45	227	503
Determinations	157	12	11		34	165	379
Rapback Determinations	41	<10	<10	<10	11	63	126
Not Applicable	702	56	158	<10	146	611	1,677
Determinations	577	42	134		126	527	1,406
Rapback Determinations	132	14	25	<10	20	87	282
2019	2,886	199	441	242	710	3,972	8,450
Low	736	37	102	17	194	1,299	2,385
Determinations	695	34	97		189	1,257	2,272
Rapback Determinations	64	<10	<10	17	<10	65	165
Medium	969	62	131	112	287	1,697	3,258
Determinations	505	32	84		154	914	1,689
Rapback Determinations	514	33	53	112	142	859	1,713
High	369	21	29	25	66	420	930
Determinations	267	16	23		47	294	647
Rapback Determinations	111	<10	<10	25	19	134	300
Not Applicable	1,281	99	249	93	241	889	2,852
Determinations	827	66	188		139	590	1,810
Rapback Determinations	479	35	65	93	104	307	1,083
Grand Total	4,352	306	703	272	1,102	6,258	12,993

#### Section 4: Distinct count of persons and determinations by race, year, provider types, and regulatory agency

This section represents the same data shown in the tables in [Section 3](#), but is further disaggregated by regulatory agency and provider type. Since there are many provider types in this final breakdown, the data has been separated into 5 tables to show counts for each risk of harm category. [Table 4](#) provides counts for all risk of harm categories combined, [Table 5](#) provides counts for low risk of harm, [Table 6](#) provides counts for medium risk of harm, [Table 7](#) provides counts for high risk of harm, and [Table 8](#) provides counts for not applicable risk of harm. Since one person may have multiple determinations, and each determination may be associated with multiple applications from different providers who are monitored by different regulatory agencies, the sum of distinct counts of persons associated with each regulatory agency will not equal the Year Total or the Grand Total in the tables below.

As compared to the final tables in the Data Overview Part 1 where all Rapback studies were broken out in their own category, each provider type in the tables below has both a determinations and rapback determinations count.

The same notes on why distinct counts within each row do not add up to Year Totals and Grand Totals apply to these tables. Also, determinations can be tied to provider applications that were received after the determination was made. There are some provider types represented in the tables below that did not exist in 2018 or 2019. However, provider applications may have been received after 2018 or 2019 and subsequently tied to existing determinations that were completed in 2018 or 2019 and the distinct persons associated with those studies. Breaking out these data by provider types creates many rows, so [Table 4](#), [Table 5](#), [Table 6](#), [Table 7](#), and [Table 8](#) are each split between two pages for better readability.

Table 4: Distinct count of persons by race/ethnicity, year, regulatory agency, provider type, and determination type. All risk of harm categories included.

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2018 All	All	1,755	123	295	30	439	2,697	5,339
Minnesota Department of Corrections	All	<10	-	<10	-	<10	14	21
DOC Children's Residential Facility	Determinations	<10	-	-	-	<10	<10	<10
DOC Children's Residential Facility	Rapback Determinations	-	-	-	-	-	-	-
DOC Corporate Child Foster Care	Determinations	-	-	-	-	-	<10	<10
DOC Corporate Child Foster Care	Rapback Determinations	-	-	-	-	-	-	-
DOC Children's Residential Facility - Title IVE	Determinations	-	-	<10	-	-	<10	<10
DOC Children's Residential Facility - Title IVE	Rapback Determinations	-	-	-	-	-	<10	<10
Minnesota Department of Health	All	713	38	77	10	169	1,187	2,194
Nursing Home	Determinations	122	<10	13	-	36	219	396
Nursing Home	Rapback Determinations	44	<10	<10	<10	10	83	145
Home Care Provider	Determinations	282	11	38	-	65	411	807
Home Care Provider	Rapback Determinations	120	<10	11	-	19	143	298
MDH Educational Program	Determinations	44	<10	<10	-	16	106	175
MDH Educational Program	Rapback Determinations	14	-	<10	-	<10	24	41
Hospice Care	Determinations	-	-	-	-	-	<10	<10
Hospice Care	Rapback Determinations	<10	-	-	-	-	<10	<10
Hospital	Determinations	50	<10	<10	-	12	117	187
Hospital	Rapback Determinations	<10	-	<10	<10	<10	18	32
Supplemental Nursing Services Agencies	Determinations	17	-	-	-	<10	<10	27
Supplemental Nursing Services Agencies	Rapback Determinations	<10	-	-	-	<10	<10	10
MDH Temporary Employment/Personnel Agency	Determinations	53	<10	<10	-	<10	36	103
MDH Temporary Employment/Personnel Agency	Rapback Determinations	<10	<10	-	-	-	<10	14
Board and Lodging	Determinations	45	<10	<10	-	<10	97	158
Board and Lodging	Rapback Determinations	<10	-	-	-	-	<10	<10
Nursing Home-Boarding Care	Determinations	<10	-	-	-	-	<10	<10
Nursing Home-Boarding Care	Rapback Determinations	-	-	-	-	-	<10	<10
Boarding Care-Home Care Provider	Determinations	<10	-	-	-	-	-	<10
Boarding Care-Home Care Provider	Rapback Determinations	-	-	-	-	-	-	-
Boarding Care	Determinations	<10	-	-	-	-	<10	<10
Boarding Care	Rapback Determinations	-	-	-	-	-	-	-
Outpatient Surgery	Determinations	-	-	-	-	<10	<10	<10
Outpatient Surgery	Rapback Determinations	-	-	-	-	-	-	-
Hospital-Nursing Home	Determinations	<10	<10	-	-	<10	15	21
Hospital-Nursing Home	Rapback Determinations	-	-	<10	-	<10	<10	14
Contingency Staffing	Determinations	-	-	-	-	-	-	-
Contingency Staffing	Rapback Determinations	-	-	-	-	-	-	-
Assisted Living	Determinations	-	-	-	-	-	-	-
Assisted Living	Rapback Determinations	-	-	-	-	-	-	-

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Minnesota Department of Human Services	All	1,241	90	258	20	303	1,708	3,620
Adult Day Care Center	Determinations	<10	<10	<10	-	<10	14	25
Adult Day Care Center	Rapback Determinations	<10	-	-	-	<10	<10	<10
Corporate Adult Foster Care	Determinations	<10	-	-	-	-	<10	<10
Corporate Adult Foster Care	Rapback Determinations	<10	-	-	-	-	<10	<10
Substance Use Disorder Treatment	Determinations	43	<10	25	-	10	223	309
Substance Use Disorder Treatment	Rapback Determinations	<10	-	<10	<10	<10	<10	11
Licensed Child Care Center	Determinations	48	<10	<10	-	<10	81	145
Licensed Child Care Center	Rapback Determinations	13	<10	<10	-	<10	28	49
DHS Child Foster Care	Determinations	54	<10	21	-	12	160	248
DHS Child Foster Care	Rapback Determinations	<10	<10	<10	-	<10	17	32
DHS Children's Residential Facility	Determinations	10	-	<10	-	<10	<10	22
DHS Children's Residential Facility	Rapback Determinations	<10	-	-	-	-	-	<10
Detoxification Program	Determinations	<10	-	<10	-	<10	16	24
Detoxification Program	Rapback Determinations	-	-	-	-	-	<10	<10
Home and Community-Based Services	Determinations	276	<10	23	-	76	399	783
Home and Community-Based Services	Rapback Determinations	96	<10	21	14	20	119	274
Residential Mental Health	Determinations	<10	-	-	-	-	<10	12
Residential Mental Health	Rapback Determinations	-	-	-	-	-	<10	<10
Personal Care Provider Organization	Determinations	551	38	124	-	120	351	1,184
Personal Care Provider Organization	Rapback Determinations	160	17	32	<10	26	93	332
Sex Offender Treatment Program	Determinations	-	-	-	-	<10	<10	<10
Sex Offender Treatment Program	Rapback Determinations	-	-	-	-	-	-	-
DHS Educational Program	Determinations	10	<10	<10	-	<10	29	51
DHS Educational Program	Rapback Determinations	<10	-	-	-	-	-	<10
Children's Therapeutic Services and Supports	Determinations	<10	-	-	-	-	<10	<10
Children's Therapeutic Services and Supports	Rapback Determinations	-	-	-	-	-	<10	<10
Private Child Caring or Placing Agency	Determinations	-	-	<10	-	-	<10	<10
Private Child Caring or Placing Agency	Rapback Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Rapback Determinations	-	-	-	-	-	<10	<10



Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Unlicensed Home and Community-Based Services	Determinations	<10	-	-	-	-	<10	<10
Unlicensed Home and Community-Based Services	Rapback Determinations	-	-	-	-	-	-	-
DHS Temporary Employment/Personnel Agency	Determinations	<10	<10	-	-	<10	<10	<10
DHS Temporary Employment/Personnel Agency	Rapback Determinations	-	-	-	-	-	<10	<10
DHS Corporate Child Foster Care	Determinations	17	-	-	-	<10	49	75
DHS Corporate Child Foster Care	Rapback Determinations	<10	-	-	<10	<10	11	17
Licensed Family Child Care	Determinations	-	-	-	-	-	-	-
Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	-	-
Transportation Provider	Determinations	50	<10	<10	-	<10	48	111
Transportation Provider	Rapback Determinations	<10	<10	-	-	<10	11	22
Unlicensed Consumer-Directed Community Supports	Determinations	31	<10	<10	-	17	101	158
Unlicensed Consumer-Directed Community Supports	Rapback Determinations	<10	-	-	-	<10	26	38
Family Adult Foster Care	Determinations	<10	-	<10	-	<10	<10	12
Family Adult Foster Care	Rapback Determinations	<10	-	-	-	-	-	<10
Housing Support	Determinations	14	-	<10	-	<10	42	60
Housing Support	Rapback Determinations	<10	-	-	-	-	-	<10
Legal Non-Licensed Family Child Care	Determinations	-	-	-	-	-	-	-
Legal Non-Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	-	-
Certified License-Exempt Child Care Center	Determinations	-	-	-	-	-	-	-
Certified License-Exempt Child Care Center	Rapback Determinations	-	-	-	-	-	-	-
Fiscal Management Services	Determinations	<10	-	-	-	<10	<10	11
Fiscal Management Services	Rapback Determinations	<10	-	-	-	-	-	<10
DHS Temporary Employment - Child Care	Determinations	-	-	-	-	-	-	-
DHS Temporary Employment - Child Care	Rapback Determinations	-	-	-	-	-	-	-
Withdrawal Management	Determinations	-	-	-	-	-	-	-
Withdrawal Management	Rapback Determinations	-	-	-	-	-	-	-
DHS Children's Residential Facility - Title IVE	Determinations	<10	-	-	-	<10	10	19
DHS Children's Residential Facility - Title IVE	Rapback Determinations	<10	-	-	-	-	<10	<10

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2019 All		2,886	199	441	242	710	3,972	8,450
Minnesota Department of Corrections	All	<10	-	<10	-	<10	16	31
DOC Children's Residential Facility	Determinations	<10	-	<10	-	<10	<10	<10
DOC Children's Residential Facility	Rapback Determinations	-	-	<10	-	-	<10	<10
DOC Corporate Child Foster Care	Determinations	<10	-	-	-	-	<10	<10
DOC Corporate Child Foster Care	Rapback Determinations	-	-	-	-	-	-	-
DOC Children's Residential Facility - Title IVE	Determinations	<10	-	-	-	<10	10	14
DOC Children's Residential Facility - Title IVE	Rapback Determinations	-	-	-	-	-	<10	<10
Minnesota Department of Health	All	1,207	72	145	82	297	1,827	3,630
Nursing Home	Determinations	221	<10	18	-	43	307	593
Nursing Home	Rapback Determinations	133	<10	<10	30	27	173	374
Home Care Provider	Determinations	442	23	60	-	102	583	1,210
Home Care Provider	Rapback Determinations	302	15	28	22	54	376	797
MDH Educational Program	Determinations	47	11	10	-	14	94	176
MDH Educational Program	Rapback Determinations	44	<10	-	-	13	72	135
Hospice Care	Determinations	<10	-	-	-	-	10	11
Hospice Care	Rapback Determinations	<10	<10	-	<10	-	<10	<10
Hospital	Determinations	62	<10	11	-	10	142	233
Hospital	Rapback Determinations	29	<10	<10	27	18	71	152
Supplemental Nursing Services Agencies	Determinations	26	-	<10	-	<10	21	53
Supplemental Nursing Services Agencies	Rapback Determinations	27	-	-	-	<10	21	52
MDH Temporary Employment/Personnel Agency	Determinations	54	<10	<10	-	<10	49	114
MDH Temporary Employment/Personnel Agency	Rapback Determinations	39	<10	-	-	<10	18	63
Board and Lodging	Determinations	49	<10	18	-	16	98	187
Board and Lodging	Rapback Determinations	13	-	<10	<10	<10	14	36
Nursing Home-Boarding Care	Determinations	<10	<10	-	-	<10	<10	<10
Nursing Home-Boarding Care	Rapback Determinations	<10	-	-	<10	-	-	<10
Boarding Care-Home Care Provider	Determinations	-	-	-	-	-	-	-
Boarding Care-Home Care Provider	Rapback Determinations	-	-	-	-	-	<10	<10
Boarding Care	Determinations	<10	-	-	-	<10	<10	<10
Boarding Care	Rapback Determinations	-	<10	-	-	-	-	<10
Outpatient Surgery	Determinations	-	-	-	-	<10	<10	<10
Outpatient Surgery	Rapback Determinations	<10	-	-	-	-	<10	<10
Hospital-Nursing Home	Determinations	<10	-	<10	-	<10	26	33
Hospital-Nursing Home	Rapback Determinations	<10	-	<10	-	<10	13	17
Contingency Staffing	Determinations	-	-	-	-	-	<10	<10
Contingency Staffing	Rapback Determinations	-	-	-	-	-	-	-
Assisted Living	Determinations	-	-	-	-	-	<10	<10
Assisted Living	Rapback Determinations	-	-	-	-	-	-	-

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Minnesota Department of Human Services	All	2,090	142	358	163	489	2,524	5,766
Adult Day Care Center	Determinations	<10	<10	-	-	<10	<10	21
Adult Day Care Center	Rapback Determinations	<10	<10	-	-	-	<10	<10
Corporate Adult Foster Care	Determinations	<10	<10	-	-	<10	<10	10
Corporate Adult Foster Care	Rapback Determinations	<10	-	<10	-	-	-	<10
Substance Use Disorder Treatment	Determinations	63	<10	31	-	20	312	431
Substance Use Disorder Treatment	Rapback Determinations	13	-	<10	<10	<10	24	46
Licensed Child Care Center	Determinations	67	<10	<10	-	19	109	206
Licensed Child Care Center	Rapback Determinations	51	<10	<10	-	15	79	150
DHS Child Foster Care	Determinations	100	<10	25	-	<10	160	296
DHS Child Foster Care	Rapback Determinations	26	<10	<10	-	<10	48	88
DHS Children's Residential Facility	Determinations	10	<10	<10	-	-	<10	20
DHS Children's Residential Facility	Rapback Determinations	<10	-	-	<10	-	<10	<10
Detoxification Program	Determinations	<10	-	<10	-	-	14	20
Detoxification Program	Rapback Determinations	<10	-	-	-	-	<10	<10
Home and Community-Based Services	Determinations	422	10	37	-	114	572	1,155
Home and Community-Based Services	Rapback Determinations	326	13	32	63	55	383	872
Residential Mental Health	Determinations	<10	-	<10	-	<10	10	19
Residential Mental Health	Rapback Determinations	-	-	<10	<10	<10	<10	<10
Personal Care Provider Organization	Determinations	714	63	167	-	120	382	1,446
Personal Care Provider Organization	Rapback Determinations	489	35	62	95	102	300	1,083
Sex Offender Treatment Program	Determinations	-	-	-	-	-	<10	<10
Sex Offender Treatment Program	Rapback Determinations	-	-	-	<10	-	-	<10
DHS Educational Program	Determinations	21	-	<10	-	<10	36	69
DHS Educational Program	Rapback Determinations	<10	-	-	-	-	<10	<10
Children's Therapeutic Services and Supports	Determinations	-	-	<10	-	-	<10	<10
Children's Therapeutic Services and Supports	Rapback Determinations	<10	-	-	-	-	<10	<10
Private Child Caring or Placing Agency	Determinations	-	-	<10	-	-	-	<10
Private Child Caring or Placing Agency	Rapback Determinations	-	-	-	-	-	<10	<10
Residential Services-Physically Disabled	Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Rapback Determinations	-	-	-	-	-	<10	<10

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Unlicensed Home and Community-Based Services	Determinations	<10	-	<10	-	-	<10	<10
Unlicensed Home and Community-Based Services	Rapback Determinations	<10	-	-	-	-	<10	<10
DHS Temporary Employment/Personnel Agency	Determinations	<10	-	-	-	<10	<10	11
DHS Temporary Employment/Personnel Agency	Rapback Determinations	<10	<10	-	-	<10	<10	<10
DHS Corporate Child Foster Care	Determinations	30	<10	<10	-	12	55	100
DHS Corporate Child Foster Care	Rapback Determinations	25	-	-	<10	<10	36	68
Licensed Family Child Care	Determinations	<10	<10	-	-	-	26	30
Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	<10	<10
Transportation Provider	Determinations	46	<10	<10	-	15	55	123
Transportation Provider	Rapback Determinations	28	<10	<10	-	<10	18	57
Unlicensed Consumer-Directed Community Supports	Determinations	19	-	<10	-	11	48	80
Unlicensed Consumer-Directed Community Supports	Rapback Determinations	19	-	-	-	13	53	85
Family Adult Foster Care	Determinations	<10	<10	-	-	<10	<10	13
Family Adult Foster Care	Rapback Determinations	-	-	-	-	<10	<10	<10
Housing Support	Determinations	15	<10	<10	-	<10	22	45
Housing Support	Rapback Determinations	<10	-	-	-	-	<10	12
Legal Non-Licensed Family Child Care	Determinations	-	-	-	-	-	<10	<10
Legal Non-Licensed Family Child Care	Rapback Determinations	-	-	-	-	<10	-	<10
Certified License-Exempt Child Care Center	Determinations	10	<10	-	-	-	<10	19
Certified License-Exempt Child Care Center	Rapback Determinations	<10	-	-	-	<10	<10	<10
Fiscal Management Services	Determinations	48	<10	<10	-	27	124	204
Fiscal Management Services	Rapback Determinations	11	-	-	-	10	34	55
DHS Temporary Employment - Child Care	Determinations	-	-	-	-	-	<10	<10
DHS Temporary Employment - Child Care	Rapback Determinations	-	-	-	-	-	<10	<10
Withdrawal Management	Determinations	<10	-	<10	-	-	<10	<10
Withdrawal Management	Rapback Determinations	<10	-	-	-	-	-	<10
DHS Children's Residential Facility - Title IVE	Determinations	<10	-	<10	-	<10	17	32
DHS Children's Residential Facility - Title IVE	Rapback Determinations	<10	-	-	<10	-	<10	15
<b>Grand Total</b>	<b>All</b>	<b>4,352</b>	<b>306</b>	<b>703</b>	<b>272</b>	<b>1,102</b>	<b>6,258</b>	<b>12,993</b>

Table 5: Distinct count of persons by race/ethnicity, year, regulatory agency, provider type, and determination type. Low risk of harm.

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2018 All		533	26	74	<10	143	1,049	1,832
Minnesota Department of Corrections	All	<10	-	<10	-	-	<10	11
DOC Children's Residential Facility	Determinations	<10	-	-	-	-	<10	<10
DOC Children's Residential Facility	Rapback Determinations	-	-	-	-	-	-	-
DOC Corporate Child Foster Care	Determinations	-	-	-	-	-	<10	<10
DOC Corporate Child Foster Care	Rapback Determinations	-	-	-	-	-	-	-
DOC Children's Residential Facility - Title IVE	Determinations	-	-	<10	-	-	<10	<10
DOC Children's Residential Facility - Title IVE	Rapback Determinations	-	-	-	-	-	<10	<10
Minnesota Department of Health	All	305	17	40	<10	80	587	1,031
Nursing Home	Determinations	77	<10	<10	-	17	138	242
Nursing Home	Rapback Determinations	<10	-	<10	<10	<10	<10	<10
Home Care Provider	Determinations	147	<10	27	-	37	246	464
Home Care Provider	Rapback Determinations	<10	<10	-	-	-	14	20
MDH Educational Program	Determinations	26	<10	<10	-	10	70	113
MDH Educational Program	Rapback Determinations	<10	-	<10	-	-	<10	<10
Hospice Care	Determinations	-	-	-	-	-	<10	<10
Hospice Care	Rapback Determinations	-	-	-	-	-	-	-
Hospital	Determinations	28	<10	-	-	<10	82	119
Hospital	Rapback Determinations	-	-	-	<10	-	<10	<10
Supplemental Nursing Services Agencies	Determinations	<10	-	-	-	<10	<10	16
Supplemental Nursing Services Agencies	Rapback Determinations	<10	-	-	-	-	-	<10
MDH Temporary Employment/Personnel Agency	Determinations	31	<10	<10	-	<10	14	54
MDH Temporary Employment/Personnel Agency	Rapback Determinations	-	-	-	-	-	-	-
Board and Lodging	Determinations	14	-	<10	-	<10	42	64
Board and Lodging	Rapback Determinations	-	-	-	-	-	-	-
Nursing Home-Boarding Care	Determinations	-	-	-	-	-	<10	<10
Nursing Home-Boarding Care	Rapback Determinations	-	-	-	-	-	-	-
Boarding Care-Home Care Provider	Determinations	<10	-	-	-	-	-	<10
Boarding Care-Home Care Provider	Rapback Determinations	-	-	-	-	-	-	-
Boarding Care	Determinations	<10	-	-	-	-	<10	<10
Boarding Care	Rapback Determinations	-	-	-	-	-	-	-
Outpatient Surgery	Determinations	-	-	-	-	<10	<10	<10
Outpatient Surgery	Rapback Determinations	-	-	-	-	-	-	-
Hospital-Nursing Home	Determinations	<10	<10	-	-	<10	11	16
Hospital-Nursing Home	Rapback Determinations	-	-	-	-	-	<10	<10
Contingency Staffing	Determinations	-	-	-	-	-	-	-
Contingency Staffing	Rapback Determinations	-	-	-	-	-	-	-
Assisted Living	Determinations	-	-	-	-	-	-	-
Assisted Living	Rapback Determinations	-	-	-	-	-	-	-

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Minnesota Department of Human Services	All	265	10	42	<10	75	520	917
Adult Day Care Center	Determinations	<10	<10	<10	-	<10	<10	<10
Adult Day Care Center	Rapback Determinations	-	-	-	-	-	<10	<10
Corporate Adult Foster Care	Determinations	<10	-	-	-	-	<10	<10
Corporate Adult Foster Care	Rapback Determinations	-	-	-	-	-	<10	<10
Substance Use Disorder Treatment	Determinations	19	<10	11	-	<10	114	153
Substance Use Disorder Treatment	Rapback Determinations	-	-	<10	<10	-	-	<10
Licensed Child Care Center	Determinations	29	<10	<10	-	<10	41	78
Licensed Child Care Center	Rapback Determinations	-	-	-	-	-	<10	<10
DHS Child Foster Care	Determinations	<10	-	<10	-	<10	15	26
DHS Child Foster Care	Rapback Determinations	-	-	<10	-	<10	<10	<10
DHS Children's Residential Facility	Determinations	<10	-	-	-	<10	<10	13
DHS Children's Residential Facility	Rapback Determinations	-	-	-	-	-	-	-
Detoxification Program	Determinations	<10	-	-	-	-	<10	<10
Detoxification Program	Rapback Determinations	-	-	-	-	-	-	-
Home and Community-Based Services	Determinations	147	<10	10	-	37	216	414
Home and Community-Based Services	Rapback Determinations	<10	<10	<10	<10	<10	<10	23
Residential Mental Health	Determinations	<10	-	-	-	-	<10	11
Residential Mental Health	Rapback Determinations	-	-	-	-	-	-	-
Personal Care Provider Organization	Determinations	22	-	<10	-	<10	14	41
Personal Care Provider Organization	Rapback Determinations	<10	<10	-	-	-	<10	<10
Sex Offender Treatment Program	Determinations	-	-	-	-	<10	<10	<10
Sex Offender Treatment Program	Rapback Determinations	-	-	-	-	-	-	-
DHS Educational Program	Determinations	<10	<10	<10	-	<10	<10	23
DHS Educational Program	Rapback Determinations	-	-	-	-	-	-	-
Children's Therapeutic Services and Supports	Determinations	<10	-	-	-	-	-	<10
Children's Therapeutic Services and Supports	Rapback Determinations	-	-	-	-	-	-	-
Private Child Caring or Placing Agency	Determinations	-	-	<10	-	-	<10	<10
Private Child Caring or Placing Agency	Rapback Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Rapback Determinations	-	-	-	-	-	-	-

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Unlicensed Home and Community-Based Services	Determinations	<10	-	-	-	-	<10	<10
Unlicensed Home and Community-Based Services	Rapback Determinations	-	-	-	-	-	-	-
DHS Temporary Employment/Personnel Agency	Determinations	<10	<10	-	-	<10	<10	<10
DHS Temporary Employment/Personnel Agency	Rapback Determinations	-	-	-	-	-	-	-
DHS Corporate Child Foster Care	Determinations	11	-	-	-	<10	30	46
DHS Corporate Child Foster Care	Rapback Determinations	<10	-	-	-	-	<10	<10
Licensed Family Child Care	Determinations	-	-	-	-	-	-	-
Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	-	-
Transportation Provider	Determinations	<10	-	-	-	<10	<10	<10
Transportation Provider	Rapback Determinations	-	-	-	-	-	<10	<10
Unlicensed Consumer-Directed Community Supports	Determinations	22	<10	<10	-	<10	54	90
Unlicensed Consumer-Directed Community Supports	Rapback Determinations	-	-	-	-	-	-	-
Family Adult Foster Care	Determinations	<10	-	-	-	-	<10	<10
Family Adult Foster Care	Rapback Determinations	-	-	-	-	-	-	-
Housing Support	Determinations	<10	-	-	-	-	<10	<10
Housing Support	Rapback Determinations	-	-	-	-	-	-	-
Legal Non-Licensed Family Child Care	Determinations	-	-	-	-	-	-	-
Legal Non-Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	-	-
Certified License-Exempt Child Care Center	Determinations	-	-	-	-	-	-	-
Certified License-Exempt Child Care Center	Rapback Determinations	-	-	-	-	-	-	-
Fiscal Management Services	Determinations	<10	-	-	-	<10	<10	<10
Fiscal Management Services	Rapback Determinations	-	-	-	-	-	-	-
DHS Temporary Employment - Child Care	Determinations	-	-	-	-	-	-	-
DHS Temporary Employment - Child Care	Rapback Determinations	-	-	-	-	-	-	-
Withdrawal Management	Determinations	-	-	-	-	-	-	-
Withdrawal Management	Rapback Determinations	-	-	-	-	-	-	-
DHS Children's Residential Facility - Title IVE	Determinations	<10	-	-	-	<10	<10	<10
DHS Children's Residential Facility - Title IVE	Rapback Determinations	-	-	-	-	-	-	-

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2019 All		736	37	102	17	194	1,299	2,385
Minnesota Department of Corrections	All	<10	-	-	-	<10	<10	12
DOC Children's Residential Facility	Determinations	<10	-	-	-	<10	<10	<10
DOC Children's Residential Facility	Rapback Determinations	-	-	-	-	-	-	-
DOC Corporate Child Foster Care	Determinations	-	-	-	-	-	<10	<10
DOC Corporate Child Foster Care	Rapback Determinations	-	-	-	-	-	-	-
DOC Children's Residential Facility - Title IVE	Determinations	<10	-	-	-	-	<10	<10
DOC Children's Residential Facility - Title IVE	Rapback Determinations	-	-	-	-	-	-	-
Minnesota Department of Health	All	437	21	50	<10	106	705	1,326
Nursing Home	Determinations	109	<10	10	-	25	162	309
Nursing Home	Rapback Determinations	16	-	<10	<10	-	<10	27
Home Care Provider	Determinations	212	<10	22	-	57	301	597
Home Care Provider	Rapback Determinations	31	<10	<10	<10	<10	18	57
MDH Educational Program	Determinations	31	<10	<10	-	<10	63	112
MDH Educational Program	Rapback Determinations	<10	-	-	-	-	11	18
Hospice Care	Determinations	-	-	-	-	-	<10	<10
Hospice Care	Rapback Determinations	-	-	-	-	-	-	-
Hospital	Determinations	37	<10	<10	-	<10	92	142
Hospital	Rapback Determinations	-	<10	<10	<10	-	<10	13
Supplemental Nursing Services Agencies	Determinations	20	-	<10	-	<10	19	42
Supplemental Nursing Services Agencies	Rapback Determinations	<10	-	-	-	-	<10	<10
MDH Temporary Employment/Personnel Agency	Determinations	24	<10	<10	-	<10	27	57
MDH Temporary Employment/Personnel Agency	Rapback Determinations	<10	-	-	-	<10	<10	12
Board and Lodging	Determinations	22	<10	<10	-	<10	49	88
Board and Lodging	Rapback Determinations	<10	-	-	<10	<10	<10	<10
Nursing Home-Boarding Care	Determinations	<10	<10	-	-	<10	<10	<10
Nursing Home-Boarding Care	Rapback Determinations	-	-	-	<10	-	-	<10
Boarding Care-Home Care Provider	Determinations	-	-	-	-	-	-	-
Boarding Care-Home Care Provider	Rapback Determinations	-	-	-	-	-	-	-
Boarding Care	Determinations	<10	-	-	-	<10	-	<10
Boarding Care	Rapback Determinations	-	-	-	-	-	-	-
Outpatient Surgery	Determinations	-	-	-	-	-	<10	<10
Outpatient Surgery	Rapback Determinations	-	-	-	-	-	-	-
Hospital-Nursing Home	Determinations	-	-	<10	-	<10	17	20
Hospital-Nursing Home	Rapback Determinations	-	-	-	-	-	<10	<10
Contingency Staffing	Determinations	-	-	-	-	-	-	-
Contingency Staffing	Rapback Determinations	-	-	-	-	-	-	-
Assisted Living	Determinations	-	-	-	-	-	<10	<10
Assisted Living	Rapback Determinations	-	-	-	-	-	-	-



Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Minnesota Department of Human Services	All	381	17	61	11	99	672	1,241
Adult Day Care Center	Determinations	<10	<10	-	-	-	<10	<10
Adult Day Care Center	Rapback Determinations	<10	-	-	-	-	-	<10
Corporate Adult Foster Care	Determinations	<10	<10	-	-	-	<10	<10
Corporate Adult Foster Care	Rapback Determinations	-	-	-	-	-	-	-
Substance Use Disorder Treatment	Determinations	33	<10	15	-	<10	170	228
Substance Use Disorder Treatment	Rapback Determinations	<10	-	-	<10	<10	<10	<10
Licensed Child Care Center	Determinations	<10	<10	<10	-	<10	30	48
Licensed Child Care Center	Rapback Determinations	<10	-	-	-	<10	<10	11
DHS Child Foster Care	Determinations	<10	-	-	-	<10	<10	17
DHS Child Foster Care	Rapback Determinations	<10	-	-	-	-	11	16
DHS Children's Residential Facility	Determinations	<10	-	-	-	-	<10	<10
DHS Children's Residential Facility	Rapback Determinations	-	-	-	-	-	-	-
Detoxification Program	Determinations	-	-	<10	-	-	<10	<10
Detoxification Program	Rapback Determinations	<10	-	-	-	-	-	<10
Home and Community-Based Services	Determinations	201	<10	20	-	53	275	555
Home and Community-Based Services	Rapback Determinations	29	<10	<10	<10	<10	17	63
Residential Mental Health	Determinations	<10	-	<10	-	-	<10	15
Residential Mental Health	Rapback Determinations	-	-	-	-	-	-	-
Personal Care Provider Organization	Determinations	22	<10	<10	-	<10	<10	40
Personal Care Provider Organization	Rapback Determinations	24	-	<10	<10	<10	<10	39
Sex Offender Treatment Program	Determinations	-	-	-	-	-	-	-
Sex Offender Treatment Program	Rapback Determinations	-	-	-	-	-	-	-
DHS Educational Program	Determinations	13	-	<10	-	<10	13	35
DHS Educational Program	Rapback Determinations	-	-	-	-	-	<10	<10
Children's Therapeutic Services and Supports	Determinations	-	-	-	-	-	<10	<10
Children's Therapeutic Services and Supports	Rapback Determinations	-	-	-	-	-	-	-
Private Child Caring or Placing Agency	Determinations	-	-	<10	-	-	-	<10
Private Child Caring or Placing Agency	Rapback Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Rapback Determinations	-	-	-	-	-	<10	<10

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Unlicensed Home and Community-Based Services	Determinations	<10	-	<10	-	-	<10	<10
Unlicensed Home and Community-Based Services	Rapback Determinations	-	-	-	-	-	-	-
DHS Temporary Employment/Personnel Agency	Determinations	<10	-	-	-	-	-	<10
DHS Temporary Employment/Personnel Agency	Rapback Determinations	-	-	-	-	<10	-	<10
DHS Corporate Child Foster Care	Determinations	18	<10	<10	-	<10	35	61
DHS Corporate Child Foster Care	Rapback Determinations	<10	-	-	-	<10	<10	<10
Licensed Family Child Care	Determinations	-	-	-	-	-	-	-
Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	-	-
Transportation Provider	Determinations	<10	-	-	-	<10	<10	12
Transportation Provider	Rapback Determinations	<10	-	-	-	-	<10	<10
Unlicensed Consumer-Directed Community Supports	Determinations	10	-	<10	-	<10	24	41
Unlicensed Consumer-Directed Community Supports	Rapback Determinations	<10	-	-	-	<10	-	<10
Family Adult Foster Care	Determinations	<10	-	-	-	-	<10	<10
Family Adult Foster Care	Rapback Determinations	-	-	-	-	-	-	-
Housing Support	Determinations	<10	-	<10	-	-	<10	<10
Housing Support	Rapback Determinations	<10	-	-	-	-	<10	<10
Legal Non-Licensed Family Child Care	Determinations	-	-	-	-	-	-	-
Legal Non-Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	-	-
Certified License-Exempt Child Care Center	Determinations	-	-	-	-	-	-	-
Certified License-Exempt Child Care Center	Rapback Determinations	-	-	-	-	-	-	-
Fiscal Management Services	Determinations	30	<10	<10	-	15	76	123
Fiscal Management Services	Rapback Determinations	-	-	-	-	-	-	-
DHS Temporary Employment - Child Care	Determinations	-	-	-	-	-	-	-
DHS Temporary Employment - Child Care	Rapback Determinations	-	-	-	-	-	-	-
Withdrawal Management	Determinations	<10	-	<10	-	-	<10	<10
Withdrawal Management	Rapback Determinations	<10	-	-	-	-	-	<10
DHS Children's Residential Facility - Title IVE	Determinations	<10	-	<10	-	<10	13	21
DHS Children's Residential Facility - Title IVE	Rapback Determinations	<10	-	-	<10	-	<10	<10
<b>Grand Total</b>	<b>All</b>	<b>1,184</b>	<b>59</b>	<b>164</b>	<b>24</b>	<b>322</b>	<b>2,165</b>	<b>3,918</b>

Table 6: Distinct count of persons by race/ethnicity, year, regulatory agency, provider type, and determination type. Medium risk of harm.

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2018 All	All	502	33	89	14	132	955	1,725
Minnesota Department of Corrections	All	-	-	-	-	-	<10	<10
DOC Children's Residential Facility	Determinations	-	-	-	-	-	<10	<10
DOC Children's Residential Facility	Rapback Determinations	-	-	-	-	-	-	-
DOC Corporate Child Foster Care	Determinations	-	-	-	-	-	-	-
DOC Corporate Child Foster Care	Rapback Determinations	-	-	-	-	-	-	-
DOC Children's Residential Facility - Title IVE	Determinations	-	-	-	-	-	<10	<10
DOC Children's Residential Facility - Title IVE	Rapback Determinations	-	-	-	-	-	<10	<10
Minnesota Department of Health	All	284	13	34	<10	72	498	909
Nursing Home	Determinations	25	<10	<10	-	16	64	112
Nursing Home	Rapback Determinations	35	<10	<10	<10	<10	73	121
Home Care Provider	Determinations	86	<10	10	-	21	146	265
Home Care Provider	Rapback Determinations	90	<10	10	-	16	109	228
MDH Educational Program	Determinations	14	-	<10	-	<10	31	50
MDH Educational Program	Rapback Determinations	11	-	-	-	<10	17	30
Hospice Care	Determinations	-	-	-	-	-	-	-
Hospice Care	Rapback Determinations	-	-	-	-	-	<10	<10
Hospital	Determinations	17	<10	<10	-	<10	22	46
Hospital	Rapback Determinations	<10	-	<10	<10	<10	16	29
Supplemental Nursing Services Agencies	Determinations	<10	-	-	-	<10	-	10
Supplemental Nursing Services Agencies	Rapback Determinations	<10	-	-	-	-	<10	<10
MDH Temporary Employment/Personnel Agency	Determinations	13	-	-	-	<10	18	33
MDH Temporary Employment/Personnel Agency	Rapback Determinations	<10	<10	-	-	-	<10	11
Board and Lodging	Determinations	13	<10	<10	-	<10	41	62
Board and Lodging	Rapback Determinations	<10	-	-	-	-	<10	<10
Nursing Home-Boarding Care	Determinations	<10	-	-	-	-	-	<10
Nursing Home-Boarding Care	Rapback Determinations	-	-	-	-	-	<10	<10
Boarding Care-Home Care Provider	Determinations	-	-	-	-	-	-	-
Boarding Care-Home Care Provider	Rapback Determinations	-	-	-	-	-	-	-
Boarding Care	Determinations	-	-	-	-	-	<10	<10
Boarding Care	Rapback Determinations	-	-	-	-	-	-	-
Outpatient Surgery	Determinations	-	-	-	-	<10	-	<10
Outpatient Surgery	Rapback Determinations	-	-	-	-	-	-	-
Hospital-Nursing Home	Determinations	<10	-	-	-	-	<10	<10
Hospital-Nursing Home	Rapback Determinations	-	-	<10	-	<10	<10	12
Contingency Staffing	Determinations	-	-	-	-	-	-	-
Contingency Staffing	Rapback Determinations	-	-	-	-	-	-	-
Assisted Living	Determinations	-	-	-	-	-	-	-
Assisted Living	Rapback Determinations	-	-	-	-	-	-	-

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Minnesota Department of Human Services	All	302	22	64	<10	70	539	1,003
Adult Day Care Center	Determinations	<10	<10	<10	-	<10	<10	10
Adult Day Care Center	Rapback Determinations	<10	-	-	-	<10	<10	<10
Corporate Adult Foster Care	Determinations	-	-	-	-	-	<10	<10
Corporate Adult Foster Care	Rapback Determinations	<10	-	-	-	-	-	<10
Substance Use Disorder Treatment	Determinations	13	<10	13	-	<10	85	119
Substance Use Disorder Treatment	Rapback Determinations	<10	-	<10	-	-	<10	<10
Licensed Child Care Center	Determinations	17	<10	<10	-	<10	34	58
Licensed Child Care Center	Rapback Determinations	13	<10	<10	-	<10	22	42
DHS Child Foster Care	Determinations	-	-	-	-	-	<10	<10
DHS Child Foster Care	Rapback Determinations	<10	-	<10	-	-	<10	<10
DHS Children's Residential Facility	Determinations	<10	-	<10	-	-	<10	<10
DHS Children's Residential Facility	Rapback Determinations	<10	-	-	-	-	-	<10
Detoxification Program	Determinations	<10	-	<10	-	-	11	14
Detoxification Program	Rapback Determinations	-	-	-	-	-	<10	<10
Home and Community-Based Services	Determinations	98	<10	10	-	26	146	284
Home and Community-Based Services	Rapback Determinations	72	<10	14	<10	16	85	194
Residential Mental Health	Determinations	-	-	-	-	-	<10	<10
Residential Mental Health	Rapback Determinations	-	-	-	-	-	<10	<10
Personal Care Provider Organization	Determinations	46	<10	<10	-	<10	27	87
Personal Care Provider Organization	Rapback Determinations	57	<10	18	-	12	30	121
Sex Offender Treatment Program	Determinations	-	-	-	-	-	-	-
Sex Offender Treatment Program	Rapback Determinations	-	-	-	-	-	-	-
DHS Educational Program	Determinations	<10	-	-	-	<10	17	22
DHS Educational Program	Rapback Determinations	-	-	-	-	-	-	-
Children's Therapeutic Services and Supports	Determinations	-	-	-	-	-	<10	<10
Children's Therapeutic Services and Supports	Rapback Determinations	-	-	-	-	-	<10	<10
Private Child Caring or Placing Agency	Determinations	-	-	-	-	-	<10	<10
Private Child Caring or Placing Agency	Rapback Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Rapback Determinations	-	-	-	-	-	<10	<10

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Unlicensed Home and Community-Based Services	Determinations	-	-	-	-	-	<10	<10
Unlicensed Home and Community-Based Services	Rapback Determinations	-	-	-	-	-	-	-
DHS Temporary Employment/Personnel Agency	Determinations	<10	-	-	-	-	-	<10
DHS Temporary Employment/Personnel Agency	Rapback Determinations	-	-	-	-	-	<10	<10
DHS Corporate Child Foster Care	Determinations	<10	-	-	-	<10	19	26
DHS Corporate Child Foster Care	Rapback Determinations	<10	-	-	<10	<10	10	14
Licensed Family Child Care	Determinations	-	-	-	-	-	-	-
Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	-	-
Transportation Provider	Determinations	<10	-	-	-	<10	<10	<10
Transportation Provider	Rapback Determinations	<10	-	-	-	-	<10	<10
Unlicensed Consumer-Directed Community Supports	Determinations	<10	<10	-	-	<10	36	45
Unlicensed Consumer-Directed Community Supports	Rapback Determinations	<10	-	-	-	<10	22	28
Family Adult Foster Care	Determinations	-	-	-	-	-	<10	<10
Family Adult Foster Care	Rapback Determinations	<10	-	-	-	-	-	<10
Housing Support	Determinations	<10	-	<10	-	-	<10	12
Housing Support	Rapback Determinations	<10	-	-	-	-	-	<10
Legal Non-Licensed Family Child Care	Determinations	-	-	-	-	-	-	-
Legal Non-Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	-	-
Certified License-Exempt Child Care Center	Determinations	-	-	-	-	-	-	-
Certified License-Exempt Child Care Center	Rapback Determinations	-	-	-	-	-	-	-
Fiscal Management Services	Determinations	-	-	-	-	<10	<10	<10
Fiscal Management Services	Rapback Determinations	-	-	-	-	-	-	-
DHS Temporary Employment - Child Care	Determinations	-	-	-	-	-	-	-
DHS Temporary Employment - Child Care	Rapback Determinations	-	-	-	-	-	-	-
Withdrawal Management	Determinations	-	-	-	-	-	-	-
Withdrawal Management	Rapback Determinations	-	-	-	-	-	-	-
DHS Children's Residential Facility - Title IVE	Determinations	<10	-	-	-	-	<10	<10
DHS Children's Residential Facility - Title IVE	Rapback Determinations	<10	-	-	-	-	<10	<10

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
	2019 All	969	62	131	112	287	1,697	3,258
Minnesota Department of Corrections	All	<10	-	<10	-	<10	<10	12
DOC Children's Residential Facility	Determinations	<10	-	-	-	<10	-	<10
DOC Children's Residential Facility	Rapback Determinations	-	-	<10	-	-	<10	<10
DOC Corporate Child Foster Care	Determinations	<10	-	-	-	-	-	<10
DOC Corporate Child Foster Care	Rapback Determinations	-	-	-	-	-	-	-
DOC Children's Residential Facility - Title IVE	Determinations	-	-	-	-	<10	<10	<10
DOC Children's Residential Facility - Title IVE	Rapback Determinations	-	-	-	-	-	<10	<10
Minnesota Department of Health	All	570	38	77	59	158	918	1,820
Nursing Home	Determinations	68	-	<10	-	16	110	199
Nursing Home	Rapback Determinations	98	<10	<10	23	23	147	298
Home Care Provider	Determinations	161	12	30	-	32	214	449
Home Care Provider	Rapback Determinations	218	11	24	17	46	319	635
MDH Educational Program	Determinations	<10	<10	<10	-	<10	28	48
MDH Educational Program	Rapback Determinations	32	<10	-	-	12	53	101
Hospice Care	Determinations	<10	-	-	-	-	-	<10
Hospice Care	Rapback Determinations	<10	<10	-	<10	-	<10	<10
Hospital	Determinations	12	<10	<10	-	<10	37	60
Hospital	Rapback Determinations	25	<10	<10	18	16	55	117
Supplemental Nursing Services Agencies	Determinations	<10	-	-	-	<10	<10	<10
Supplemental Nursing Services Agencies	Rapback Determinations	18	-	-	-	<10	18	40
MDH Temporary Employment/Personnel Agency	Determinations	18	<10	-	-	<10	18	40
MDH Temporary Employment/Personnel Agency	Rapback Determinations	28	<10	-	-	<10	10	42
Board and Lodging	Determinations	14	<10	<10	-	<10	30	59
Board and Lodging	Rapback Determinations	<10	-	<10	<10	<10	12	25
Nursing Home-Boarding Care	Determinations	-	-	-	-	-	-	-
Nursing Home-Boarding Care	Rapback Determinations	<10	-	-	-	-	-	<10
Boarding Care-Home Care Provider	Determinations	-	-	-	-	-	-	-
Boarding Care-Home Care Provider	Rapback Determinations	-	-	-	-	-	<10	<10
Boarding Care	Determinations	-	-	-	-	<10	<10	<10
Boarding Care	Rapback Determinations	-	-	-	-	-	-	-
Outpatient Surgery	Determinations	-	-	-	-	<10	<10	<10
Outpatient Surgery	Rapback Determinations	<10	-	-	-	-	<10	<10
Hospital-Nursing Home	Determinations	<10	-	<10	-	-	<10	10
Hospital-Nursing Home	Rapback Determinations	<10	-	<10	-	<10	<10	13
Contingency Staffing	Determinations	-	-	-	-	-	-	-
Contingency Staffing	Rapback Determinations	-	-	-	-	-	-	-
Assisted Living	Determinations	-	-	-	-	-	-	-
Assisted Living	Rapback Determinations	-	-	-	-	-	-	-

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Minnesota Department of Human Services	All	611	31	83	55	165	1,010	1,955
Adult Day Care Center	Determinations	<10	<10	-	-	<10	<10	<10
Adult Day Care Center	Rapback Determinations	<10	<10	-	-	-	<10	<10
Corporate Adult Foster Care	Determinations	-	-	-	-	<10	<10	<10
Corporate Adult Foster Care	Rapback Determinations	-	-	<10	-	-	-	<10
Substance Use Disorder Treatment	Determinations	13	<10	14	-	<10	113	153
Substance Use Disorder Treatment	Rapback Determinations	<10	-	<10	<10	<10	15	28
Licensed Child Care Center	Determinations	54	<10	<10	-	11	76	147
Licensed Child Care Center	Rapback Determinations	37	<10	<10	-	13	62	116
DHS Child Foster Care	Determinations	<10	-	<10	-	-	<10	<10
DHS Child Foster Care	Rapback Determinations	<10	<10	<10	-	<10	12	22
DHS Children's Residential Facility	Determinations	<10	<10	<10	-	-	<10	<10
DHS Children's Residential Facility	Rapback Determinations	<10	-	-	<10	-	<10	<10
Detoxification Program	Determinations	-	-	<10	-	-	<10	<10
Detoxification Program	Rapback Determinations	<10	-	-	-	-	<10	<10
Home and Community-Based Services	Determinations	159	<10	13	-	48	231	454
Home and Community-Based Services	Rapback Determinations	229	10	24	45	40	323	671
Residential Mental Health	Determinations	-	-	-	-	<10	<10	<10
Residential Mental Health	Rapback Determinations	-	-	<10	<10	<10	<10	<10
Personal Care Provider Organization	Determinations	<10	-	<10	-	<10	<10	11
Personal Care Provider Organization	Rapback Determinations	216	<10	37	<10	29	158	457
Sex Offender Treatment Program	Determinations	-	-	-	-	-	<10	<10
Sex Offender Treatment Program	Rapback Determinations	-	-	-	<10	-	-	<10
DHS Educational Program	Determinations	<10	-	<10	-	<10	18	24
DHS Educational Program	Rapback Determinations	<10	-	-	-	-	<10	<10
Children's Therapeutic Services and Supports	Determinations	-	-	<10	-	-	<10	<10
Children's Therapeutic Services and Supports	Rapback Determinations	<10	-	-	-	-	<10	<10
Private Child Caring or Placing Agency	Determinations	-	-	-	-	-	-	-
Private Child Caring or Placing Agency	Rapback Determinations	-	-	-	-	-	<10	<10
Residential Services-Physically Disabled	Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Rapback Determinations	-	-	-	-	-	<10	<10

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Unlicensed Home and Community-Based Services	Determinations	-	-	-	-	-	-	-
Unlicensed Home and Community-Based Services	Rapback Determinations	<10	-	-	-	-	<10	<10
DHS Temporary Employment/Personnel Agency	Determinations	<10	-	-	-	<10	<10	<10
DHS Temporary Employment/Personnel Agency	Rapback Determinations	<10	<10	-	-	<10	<10	<10
DHS Corporate Child Foster Care	Determinations	10	-	<10	-	<10	15	31
DHS Corporate Child Foster Care	Rapback Determinations	20	-	-	<10	<10	32	58
Licensed Family Child Care	Determinations	<10	-	-	-	-	15	17
Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	<10	<10
Transportation Provider	Determinations	-	-	-	-	<10	<10	<10
Transportation Provider	Rapback Determinations	<10	-	<10	-	-	-	<10
Unlicensed Consumer-Directed Community Supports	Determinations	<10	-	-	-	<10	13	24
Unlicensed Consumer-Directed Community Supports	Rapback Determinations	14	-	-	-	10	41	65
Family Adult Foster Care	Determinations	-	-	-	-	-	-	-
Family Adult Foster Care	Rapback Determinations	-	-	-	-	-	<10	<10
Housing Support	Determinations	<10	-	<10	-	-	<10	<10
Housing Support	Rapback Determinations	<10	-	-	-	-	<10	<10
Legal Non-Licensed Family Child Care	Determinations	-	-	-	-	-	<10	<10
Legal Non-Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	-	-
Certified License-Exempt Child Care Center	Determinations	<10	<10	-	-	-	<10	17
Certified License-Exempt Child Care Center	Rapback Determinations	<10	-	-	-	<10	<10	<10
Fiscal Management Services	Determinations	<10	<10	-	-	<10	37	55
Fiscal Management Services	Rapback Determinations	<10	-	-	-	<10	29	46
DHS Temporary Employment - Child Care	Determinations	-	-	-	-	-	<10	<10
DHS Temporary Employment - Child Care	Rapback Determinations	-	-	-	-	-	<10	<10
Withdrawal Management	Determinations	-	-	-	-	-	<10	<10
Withdrawal Management	Rapback Determinations	-	-	-	-	-	-	-
DHS Children's Residential Facility - Title IVE	Determinations	<10	-	-	-	-	<10	<10
DHS Children's Residential Facility - Title IVE	Rapback Determinations	<10	-	-	<10	-	<10	<10
<b>Grand Total</b>	<b>All</b>	<b>1,435</b>	<b>91</b>	<b>218</b>	<b>126</b>	<b>408</b>	<b>2,584</b>	<b>4,862</b>



Table 7: Distinct count of persons by race/ethnicity, year, regulatory agency, provider type, and determination type. High risk of harm.

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2018 All		197	14	16	<10	45	227	503
Minnesota Department of Corrections	All	<10	-	-	-	<10	<10	<10
DOC Children's Residential Facility	Determinations	<10	-	-	-	<10	-	<10
DOC Children's Residential Facility	Rapback Determinations	-	-	-	-	-	-	-
DOC Corporate Child Foster Care	Determinations	-	-	-	-	-	-	-
DOC Corporate Child Foster Care	Rapback Determinations	-	-	-	-	-	-	-
DOC Children's Residential Facility - Title IVE	Determinations	-	-	-	-	-	<10	<10
DOC Children's Residential Facility - Title IVE	Rapback Determinations	-	-	-	-	-	<10	<10
Minnesota Department of Health	All	118	<10	<10	-	17	104	251
Nursing Home	Determinations	21	<10	-	-	<10	16	41
Nursing Home	Rapback Determinations	<10	-	-	-	<10	<10	15
Home Care Provider	Determinations	45	<10	<10	-	<10	22	78
Home Care Provider	Rapback Determinations	20	<10	<10	-	<10	19	44
MDH Educational Program	Determinations	<10	-	<10	-	<10	<10	11
MDH Educational Program	Rapback Determinations	<10	-	-	-	-	<10	<10
Hospice Care	Determinations	-	-	-	-	-	-	-
Hospice Care	Rapback Determinations	<10	-	-	-	-	-	<10
Hospital	Determinations	<10	<10	-	-	<10	12	22
Hospital	Rapback Determinations	-	-	-	-	-	<10	<10
Supplemental Nursing Services Agencies	Determinations	-	-	-	-	-	<10	<10
Supplemental Nursing Services Agencies	Rapback Determinations	<10	-	-	-	<10	-	<10
MDH Temporary Employment/Personnel Agency	Determinations	<10	<10	-	-	<10	<10	16
MDH Temporary Employment/Personnel Agency	Rapback Determinations	<10	-	-	-	-	<10	<10
Board and Lodging	Determinations	17	-	-	-	-	14	31
Board and Lodging	Rapback Determinations	-	-	-	-	-	-	-
Nursing Home-Boarding Care	Determinations	-	-	-	-	-	-	-
Nursing Home-Boarding Care	Rapback Determinations	-	-	-	-	-	-	-
Boarding Care-Home Care Provider	Determinations	-	-	-	-	-	-	-
Boarding Care-Home Care Provider	Rapback Determinations	-	-	-	-	-	-	-
Boarding Care	Determinations	-	-	-	-	-	<10	<10
Boarding Care	Rapback Determinations	-	-	-	-	-	-	-
Outpatient Surgery	Determinations	-	-	-	-	-	<10	<10
Outpatient Surgery	Rapback Determinations	-	-	-	-	-	-	-
Hospital-Nursing Home	Determinations	-	-	-	-	-	<10	<10
Hospital-Nursing Home	Rapback Determinations	-	-	-	-	-	-	-
Contingency Staffing	Determinations	-	-	-	-	-	-	-
Contingency Staffing	Rapback Determinations	-	-	-	-	-	-	-
Assisted Living	Determinations	-	-	-	-	-	-	-
Assisted Living	Rapback Determinations	-	-	-	-	-	-	-

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Minnesota Department of Human Services	All	97	<10	12	<10	30	138	288
Adult Day Care Center	Determinations	<10	-	-	-	-	<10	<10
Adult Day Care Center	Rapback Determinations	-	-	-	-	-	-	-
Corporate Adult Foster Care	Determinations	-	-	-	-	-	-	-
Corporate Adult Foster Care	Rapback Determinations	-	-	-	-	-	-	-
Substance Use Disorder Treatment	Determinations	11	<10	<10	-	-	24	38
Substance Use Disorder Treatment	Rapback Determinations	-	-	-	-	<10	-	<10
Licensed Child Care Center	Determinations	<10	-	<10	-	-	<10	11
Licensed Child Care Center	Rapback Determinations	-	-	-	-	<10	<10	<10
DHS Child Foster Care	Determinations	<10	-	<10	-	-	<10	11
DHS Child Foster Care	Rapback Determinations	<10	<10	<10	-	-	<10	<10
DHS Children's Residential Facility	Determinations	<10	-	-	-	-	-	<10
DHS Children's Residential Facility	Rapback Determinations	-	-	-	-	-	-	-
Detoxification Program	Determinations	<10	-	-	-	<10	<10	<10
Detoxification Program	Rapback Determinations	-	-	-	-	-	<10	<10
Home and Community-Based Services	Determinations	30	<10	<10	-	13	38	85
Home and Community-Based Services	Rapback Determinations	16	<10	<10	<10	<10	24	51
Residential Mental Health	Determinations	-	-	-	-	-	-	-
Residential Mental Health	Rapback Determinations	-	-	-	-	-	<10	<10
Personal Care Provider Organization	Determinations	<10	-	-	-	<10	<10	18
Personal Care Provider Organization	Rapback Determinations	10	<10	<10	-	<10	<10	23
Sex Offender Treatment Program	Determinations	-	-	-	-	-	-	-
Sex Offender Treatment Program	Rapback Determinations	-	-	-	-	-	-	-
DHS Educational Program	Determinations	<10	<10	-	-	-	<10	<10
DHS Educational Program	Rapback Determinations	<10	-	-	-	-	-	<10
Children's Therapeutic Services and Supports	Determinations	<10	-	-	-	-	-	<10
Children's Therapeutic Services and Supports	Rapback Determinations	-	-	-	-	-	-	-
Private Child Caring or Placing Agency	Determinations	-	-	-	-	-	-	-
Private Child Caring or Placing Agency	Rapback Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Rapback Determinations	-	-	-	-	-	-	-

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Unlicensed Home and Community-Based Services	Determinations	<10	-	-	-	-	-	<10
Unlicensed Home and Community-Based Services	Rapback Determinations	-	-	-	-	-	-	-
DHS Temporary Employment/Personnel Agency	Determinations	<10	-	-	-	-	-	<10
DHS Temporary Employment/Personnel Agency	Rapback Determinations	-	-	-	-	-	-	-
DHS Corporate Child Foster Care	Determinations	<10	-	-	-	<10	-	<10
DHS Corporate Child Foster Care	Rapback Determinations	<10	-	-	-	-	-	<10
Licensed Family Child Care	Determinations	-	-	-	-	-	-	-
Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	-	-
Transportation Provider	Determinations	<10	-	-	-	-	<10	<10
Transportation Provider	Rapback Determinations	<10	-	-	-	-	-	<10
Unlicensed Consumer-Directed Community Supports	Determinations	<10	-	<10	-	<10	11	22
Unlicensed Consumer-Directed Community Supports	Rapback Determinations	<10	-	-	-	<10	<10	10
Family Adult Foster Care	Determinations	-	-	-	-	-	<10	<10
Family Adult Foster Care	Rapback Determinations	-	-	-	-	-	-	-
Housing Support	Determinations	<10	-	-	-	-	<10	<10
Housing Support	Rapback Determinations	-	-	-	-	-	-	-
Legal Non-Licensed Family Child Care	Determinations	-	-	-	-	-	-	-
Legal Non-Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	-	-
Certified License-Exempt Child Care Center	Determinations	-	-	-	-	-	-	-
Certified License-Exempt Child Care Center	Rapback Determinations	-	-	-	-	-	-	-
Fiscal Management Services	Determinations	-	-	-	-	-	<10	<10
Fiscal Management Services	Rapback Determinations	<10	-	-	-	-	-	<10
DHS Temporary Employment - Child Care	Determinations	-	-	-	-	-	-	-
DHS Temporary Employment - Child Care	Rapback Determinations	-	-	-	-	-	-	-
Withdrawal Management	Determinations	-	-	-	-	-	-	-
Withdrawal Management	Rapback Determinations	-	-	-	-	-	-	-
DHS Children's Residential Facility - Title IVE	Determinations	<10	-	-	-	-	<10	<10
DHS Children's Residential Facility - Title IVE	Rapback Determinations	<10	-	-	-	-	-	<10

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
	2019 All	369	21	29	25	66	420	930
Minnesota Department of Corrections	All	<10	-	<10	-	-	-	<10
DOC Children's Residential Facility	Determinations	<10	-	<10	-	-	-	<10
DOC Children's Residential Facility	Rapback Determinations	-	-	-	-	-	-	-
DOC Corporate Child Foster Care	Determinations	<10	-	-	-	-	-	<10
DOC Corporate Child Foster Care	Rapback Determinations	-	-	-	-	-	-	-
DOC Children's Residential Facility - Title IVE	Determinations	<10	-	-	-	-	-	<10
DOC Children's Residential Facility - Title IVE	Rapback Determinations	-	-	-	-	-	-	-
Minnesota Department of Health	All	213	14	18	14	32	207	498
Nursing Home	Determinations	44	<10	<10	-	<10	35	85
Nursing Home	Rapback Determinations	18	-	<10	<10	<10	18	44
Home Care Provider	Determinations	72	<10	<10	-	14	66	166
Home Care Provider	Rapback Determinations	42	<10	<10	<10	<10	34	87
MDH Educational Program	Determinations	<10	<10	<10	-	<10	<10	15
MDH Educational Program	Rapback Determinations	<10	<10	-	-	<10	<10	16
Hospice Care	Determinations	-	-	-	-	-	<10	<10
Hospice Care	Rapback Determinations	-	-	-	-	-	-	-
Hospital	Determinations	14	<10	<10	-	<10	13	32
Hospital	Rapback Determinations	<10	-	<10	<10	<10	<10	21
Supplemental Nursing Services Agencies	Determinations	<10	-	-	-	-	-	<10
Supplemental Nursing Services Agencies	Rapback Determinations	<10	-	-	-	-	-	<10
MDH Temporary Employment/Personnel Agency	Determinations	12	-	-	-	<10	<10	17
MDH Temporary Employment/Personnel Agency	Rapback Determinations	<10	-	-	-	<10	<10	<10
Board and Lodging	Determinations	13	<10	<10	-	<10	19	40
Board and Lodging	Rapback Determinations	<10	-	-	-	-	-	<10
Nursing Home-Boarding Care	Determinations	-	-	-	-	-	-	-
Nursing Home-Boarding Care	Rapback Determinations	-	-	-	-	-	-	-
Boarding Care-Home Care Provider	Determinations	-	-	-	-	-	-	-
Boarding Care-Home Care Provider	Rapback Determinations	-	-	-	-	-	-	-
Boarding Care	Determinations	-	-	-	-	-	-	-
Boarding Care	Rapback Determinations	-	<10	-	-	-	-	<10
Outpatient Surgery	Determinations	-	-	-	-	-	-	-
Outpatient Surgery	Rapback Determinations	-	-	-	-	-	-	-
Hospital-Nursing Home	Determinations	-	-	-	-	-	<10	<10
Hospital-Nursing Home	Rapback Determinations	-	-	-	-	-	<10	<10
Contingency Staffing	Determinations	-	-	-	-	-	<10	<10
Contingency Staffing	Rapback Determinations	-	-	-	-	-	-	-
Assisted Living	Determinations	-	-	-	-	-	-	-
Assisted Living	Rapback Determinations	-	-	-	-	-	-	-

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Minnesota Department of Human Services	All	195	<10	13	11	40	233	501
Adult Day Care Center	Determinations	-	<10	-	-	-	-	<10
Adult Day Care Center	Rapback Determinations	<10	-	-	-	-	-	<10
Corporate Adult Foster Care	Determinations	<10	-	-	-	-	<10	<10
Corporate Adult Foster Care	Rapback Determinations	<10	-	-	-	-	-	<10
Substance Use Disorder Treatment	Determinations	17	-	<10	-	<10	32	53
Substance Use Disorder Treatment	Rapback Determinations	<10	-	-	-	-	<10	<10
Licensed Child Care Center	Determinations	<10	-	-	-	<10	<10	12
Licensed Child Care Center	Rapback Determinations	<10	<10	-	-	<10	<10	18
DHS Child Foster Care	Determinations	<10	-	-	-	-	<10	<10
DHS Child Foster Care	Rapback Determinations	<10	<10	-	-	-	13	21
DHS Children's Residential Facility	Determinations	<10	-	-	-	-	<10	<10
DHS Children's Residential Facility	Rapback Determinations	-	-	-	-	-	-	-
Detoxification Program	Determinations	<10	-	-	-	-	<10	<10
Detoxification Program	Rapback Determinations	-	-	-	-	-	-	-
Home and Community-Based Services	Determinations	64	<10	<10	-	14	68	151
Home and Community-Based Services	Rapback Determinations	53	<10	<10	11	10	41	119
Residential Mental Health	Determinations	-	-	-	-	-	<10	<10
Residential Mental Health	Rapback Determinations	-	-	-	-	-	-	-
Personal Care Provider Organization	Determinations	<10	-	<10	-	-	<10	12
Personal Care Provider Organization	Rapback Determinations	38	-	-	-	<10	12	54
Sex Offender Treatment Program	Determinations	-	-	-	-	-	<10	<10
Sex Offender Treatment Program	Rapback Determinations	-	-	-	-	-	-	-
DHS Educational Program	Determinations	<10	-	-	-	<10	<10	10
DHS Educational Program	Rapback Determinations	<10	-	-	-	-	-	<10
Children's Therapeutic Services and Supports	Determinations	-	-	-	-	-	<10	<10
Children's Therapeutic Services and Supports	Rapback Determinations	-	-	-	-	-	<10	<10
Private Child Caring or Placing Agency	Determinations	-	-	-	-	-	-	-
Private Child Caring or Placing Agency	Rapback Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Rapback Determinations	-	-	-	-	-	-	-

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Unlicensed Home and Community-Based Services	Determinations	<10	-	-	-	-	-	<10
Unlicensed Home and Community-Based Services	Rapback Determinations	-	-	-	-	-	-	-
DHS Temporary Employment/Personnel Agency	Determinations	-	-	-	-	-	-	-
DHS Temporary Employment/Personnel Agency	Rapback Determinations	-	-	-	-	-	-	-
DHS Corporate Child Foster Care	Determinations	<10	-	-	-	<10	<10	<10
DHS Corporate Child Foster Care	Rapback Determinations	<10	-	-	-	-	<10	<10
Licensed Family Child Care	Determinations	<10	<10	-	-	-	<10	10
Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	-	-
Transportation Provider	Determinations	-	-	-	-	-	<10	<10
Transportation Provider	Rapback Determinations	-	-	-	-	-	<10	<10
Unlicensed Consumer-Directed Community Supports	Determinations	<10	-	<10	-	-	11	15
Unlicensed Consumer-Directed Community Supports	Rapback Determinations	<10	-	-	-	<10	10	14
Family Adult Foster Care	Determinations	-	-	-	-	<10	-	<10
Family Adult Foster Care	Rapback Determinations	-	-	-	-	<10	-	<10
Housing Support	Determinations	<10	-	-	-	-	<10	<10
Housing Support	Rapback Determinations	-	-	-	-	-	<10	<10
Legal Non-Licensed Family Child Care	Determinations	-	-	-	-	-	-	-
Legal Non-Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	-	-
Certified License-Exempt Child Care Center	Determinations	<10	-	-	-	-	<10	<10
Certified License-Exempt Child Care Center	Rapback Determinations	-	-	-	-	-	-	-
Fiscal Management Services	Determinations	<10	<10	<10	-	<10	12	27
Fiscal Management Services	Rapback Determinations	<10	-	-	-	<10	<10	<10
DHS Temporary Employment - Child Care	Determinations	-	-	-	-	-	-	-
DHS Temporary Employment - Child Care	Rapback Determinations	-	-	-	-	-	-	-
Withdrawal Management	Determinations	-	-	-	-	-	<10	<10
Withdrawal Management	Rapback Determinations	-	-	-	-	-	-	-
DHS Children's Residential Facility - Title IVE	Determinations	<10	-	<10	-	<10	<10	<10
DHS Children's Residential Facility - Title IVE	Rapback Determinations	<10	-	-	-	-	<10	<10
<b>Grand Total</b>	<b>All</b>	<b>553</b>	<b>34</b>	<b>44</b>	<b>29</b>	<b>109</b>	<b>638</b>	<b>1,407</b>

Table 8: Distinct count of persons by race/ethnicity, year, regulatory agency, provider type, and determination type. Not applicable risk of harm.

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
	2018 All	702	56	158	<10	146	611	1,677
Minnesota Department of Corrections	All	<10	-	-	-	-	-	<10
DOC Children's Residential Facility	Determinations	<10	-	-	-	-	-	<10
DOC Children's Residential Facility	Rapback Determinations	-	-	-	-	-	-	-
DOC Corporate Child Foster Care	Determinations	-	-	-	-	-	-	-
DOC Corporate Child Foster Care	Rapback Determinations	-	-	-	-	-	-	-
DOC Children's Residential Facility - Title IVE	Determinations	-	-	-	-	-	-	-
DOC Children's Residential Facility - Title IVE	Rapback Determinations	-	-	-	-	-	-	-
Minnesota Department of Health	All	34	<10	<10	-	<10	24	68
Nursing Home	Determinations	-	-	-	-	<10	<10	<10
Nursing Home	Rapback Determinations	<10	-	-	-	-	<10	<10
Home Care Provider	Determinations	<10	-	-	-	-	<10	<10
Home Care Provider	Rapback Determinations	25	<10	<10	-	<10	17	49
MDH Educational Program	Determinations	<10	-	-	-	-	-	<10
MDH Educational Program	Rapback Determinations	-	-	-	-	<10	<10	<10
Hospice Care	Determinations	-	-	-	-	-	-	-
Hospice Care	Rapback Determinations	-	-	-	-	-	-	-
Hospital	Determinations	-	-	-	-	-	<10	<10
Hospital	Rapback Determinations	-	-	-	-	-	<10	<10
Supplemental Nursing Services Agencies	Determinations	-	-	-	-	-	-	-
Supplemental Nursing Services Agencies	Rapback Determinations	<10	-	-	-	-	-	<10
MDH Temporary Employment/Personnel Agency	Determinations	-	-	-	-	-	-	-
MDH Temporary Employment/Personnel Agency	Rapback Determinations	-	-	-	-	-	-	-
Board and Lodging	Determinations	<10	-	-	-	-	-	<10
Board and Lodging	Rapback Determinations	-	-	-	-	-	-	-
Nursing Home-Boarding Care	Determinations	-	-	-	-	-	-	-
Nursing Home-Boarding Care	Rapback Determinations	-	-	-	-	-	-	-
Boarding Care-Home Care Provider	Determinations	-	-	-	-	-	-	-
Boarding Care-Home Care Provider	Rapback Determinations	-	-	-	-	-	-	-
Boarding Care	Determinations	-	-	-	-	-	-	-
Boarding Care	Rapback Determinations	-	-	-	-	-	-	-
Outpatient Surgery	Determinations	-	-	-	-	-	-	-
Outpatient Surgery	Rapback Determinations	-	-	-	-	-	-	-
Hospital-Nursing Home	Determinations	-	-	-	-	-	-	-
Hospital-Nursing Home	Rapback Determinations	-	-	-	-	-	<10	<10
Contingency Staffing	Determinations	-	-	-	-	-	-	-
Contingency Staffing	Rapback Determinations	-	-	-	-	-	-	-
Assisted Living	Determinations	-	-	-	-	-	-	-
Assisted Living	Rapback Determinations	-	-	-	-	-	-	-

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Minnesota Department of Human Services	All	700	56	158	<10	145	606	1,669
Adult Day Care Center	Determinations	<10	-	-	-	-	<10	<10
Adult Day Care Center	Rapback Determinations	-	-	-	-	-	-	-
Corporate Adult Foster Care	Determinations	-	-	-	-	-	-	-
Corporate Adult Foster Care	Rapback Determinations	-	-	-	-	-	-	-
Substance Use Disorder Treatment	Determinations	-	-	-	-	-	<10	<10
Substance Use Disorder Treatment	Rapback Determinations	-	-	-	-	-	<10	<10
Licensed Child Care Center	Determinations	-	-	-	-	-	-	-
Licensed Child Care Center	Rapback Determinations	<10	-	<10	-	-	-	<10
DHS Child Foster Care	Determinations	45	<10	16	-	11	135	208
DHS Child Foster Care	Rapback Determinations	<10	-	<10	-	<10	<10	15
DHS Children's Residential Facility	Determinations	-	-	-	-	-	-	-
DHS Children's Residential Facility	Rapback Determinations	-	-	-	-	-	-	-
Detoxification Program	Determinations	-	-	-	-	-	-	-
Detoxification Program	Rapback Determinations	-	-	-	-	-	-	-
Home and Community-Based Services	Determinations	<10	-	-	-	<10	<10	<10
Home and Community-Based Services	Rapback Determinations	27	<10	<10	-	<10	<10	48
Residential Mental Health	Determinations	-	-	-	-	-	-	-
Residential Mental Health	Rapback Determinations	-	-	-	-	-	-	-
Personal Care Provider Organization	Determinations	484	36	115	-	108	305	1,048
Personal Care Provider Organization	Rapback Determinations	122	13	23	<10	17	71	250
Sex Offender Treatment Program	Determinations	-	-	-	-	-	-	-
Sex Offender Treatment Program	Rapback Determinations	-	-	-	-	-	-	-
DHS Educational Program	Determinations	-	-	-	-	-	-	-
DHS Educational Program	Rapback Determinations	-	-	-	-	-	-	-
Children's Therapeutic Services and Supports	Determinations	-	-	-	-	-	-	-
Children's Therapeutic Services and Supports	Rapback Determinations	-	-	-	-	-	-	-
Private Child Caring or Placing Agency	Determinations	-	-	-	-	-	-	-
Private Child Caring or Placing Agency	Rapback Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Rapback Determinations	-	-	-	-	-	-	-



Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Unlicensed Home and Community-Based Services	Determinations	<10	-	-	-	-	-	<10
Unlicensed Home and Community-Based Services	Rapback Determinations	-	-	-	-	-	-	-
DHS Temporary Employment/Personnel Agency	Determinations	-	-	-	-	-	-	-
DHS Temporary Employment/Personnel Agency	Rapback Determinations	-	-	-	-	-	-	-
DHS Corporate Child Foster Care	Determinations	-	-	-	-	-	-	-
DHS Corporate Child Foster Care	Rapback Determinations	-	-	-	-	-	-	-
Licensed Family Child Care	Determinations	-	-	-	-	-	-	-
Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	-	-
Transportation Provider	Determinations	41	<10	<10	-	<10	43	95
Transportation Provider	Rapback Determinations	<10	<10	-	-	<10	<10	19
Unlicensed Consumer-Directed Community Supports	Determinations	<10	-	-	-	-	<10	<10
Unlicensed Consumer-Directed Community Supports	Rapback Determinations	<10	-	-	-	<10	<10	<10
Family Adult Foster Care	Determinations	-	-	<10	-	<10	<10	<10
Family Adult Foster Care	Rapback Determinations	-	-	-	-	-	-	-
Housing Support	Determinations	<10	-	-	-	<10	32	41
Housing Support	Rapback Determinations	-	-	-	-	-	-	-
Legal Non-Licensed Family Child Care	Determinations	-	-	-	-	-	-	-
Legal Non-Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	-	-
Certified License-Exempt Child Care Center	Determinations	-	-	-	-	-	-	-
Certified License-Exempt Child Care Center	Rapback Determinations	-	-	-	-	-	-	-
Fiscal Management Services	Determinations	-	-	-	-	-	-	-
Fiscal Management Services	Rapback Determinations	-	-	-	-	-	-	-
DHS Temporary Employment - Child Care	Determinations	-	-	-	-	-	-	-
DHS Temporary Employment - Child Care	Rapback Determinations	-	-	-	-	-	-	-
Withdrawal Management	Determinations	-	-	-	-	-	-	-
Withdrawal Management	Rapback Determinations	-	-	-	-	-	-	-
DHS Children's Residential Facility - Title IVE	Determinations	-	-	-	-	-	-	-
DHS Children's Residential Facility - Title IVE	Rapback Determinations	-	-	-	-	-	-	-

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
	2019 All	1,281	99	249	93	241	889	2,852
Minnesota Department of Corrections	All	-	-	<10	-	-	<10	<10
DOC Children's Residential Facility	Determinations	-	-	-	-	-	-	-
DOC Children's Residential Facility	Rapback Determinations	-	-	<10	-	-	-	<10
DOC Corporate Child Foster Care	Determinations	-	-	-	-	-	-	-
DOC Corporate Child Foster Care	Rapback Determinations	-	-	-	-	-	-	-
DOC Children's Residential Facility - Title IVE	Determinations	-	-	-	-	-	<10	<10
DOC Children's Residential Facility - Title IVE	Rapback Determinations	-	-	-	-	-	<10	<10
Minnesota Department of Health	All	175	<10	20	<10	20	121	344
Nursing Home	Determinations	<10	-	-	-	-	-	<10
Nursing Home	Rapback Determinations	33	-	<10	-	<10	10	47
Home Care Provider	Determinations	<10	-	-	-	-	<10	<10
Home Care Provider	Rapback Determinations	141	<10	19	<10	16	107	291
MDH Educational Program	Determinations	<10	-	-	-	-	-	<10
MDH Educational Program	Rapback Determinations	<10	-	-	-	-	<10	13
Hospice Care	Determinations	-	-	-	-	-	-	-
Hospice Care	Rapback Determinations	-	-	-	-	-	-	-
Hospital	Determinations	-	-	-	-	-	-	-
Hospital	Rapback Determinations	<10	-	-	-	<10	<10	15
Supplemental Nursing Services Agencies	Determinations	-	-	-	-	-	-	-
Supplemental Nursing Services Agencies	Rapback Determinations	<10	-	-	-	-	<10	11
MDH Temporary Employment/Personnel Agency	Determinations	-	-	-	-	-	-	-
MDH Temporary Employment/Personnel Agency	Rapback Determinations	<10	-	-	-	<10	<10	13
Board and Lodging	Determinations	-	-	-	-	-	-	-
Board and Lodging	Rapback Determinations	<10	-	<10	-	<10	-	<10
Nursing Home-Boarding Care	Determinations	-	-	-	-	-	-	-
Nursing Home-Boarding Care	Rapback Determinations	-	-	-	-	-	-	-
Boarding Care-Home Care Provider	Determinations	-	-	-	-	-	-	-
Boarding Care-Home Care Provider	Rapback Determinations	-	-	-	-	-	<10	<10
Boarding Care	Determinations	-	-	-	-	-	-	-
Boarding Care	Rapback Determinations	-	-	-	-	-	-	-
Outpatient Surgery	Determinations	-	-	-	-	-	-	-
Outpatient Surgery	Rapback Determinations	-	-	-	-	-	-	-
Hospital-Nursing Home	Determinations	-	-	-	-	-	-	-
Hospital-Nursing Home	Rapback Determinations	-	-	-	-	-	<10	<10
Contingency Staffing	Determinations	-	-	-	-	-	-	-
Contingency Staffing	Rapback Determinations	-	-	-	-	-	-	-
Assisted Living	Determinations	-	-	-	-	-	-	-
Assisted Living	Rapback Determinations	-	-	-	-	-	-	-

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Minnesota Department of Human Services	All	1,279	99	249	93	241	888	2,849
Adult Day Care Center	Determinations	-	-	-	-	-	-	-
Adult Day Care Center	Rapback Determinations	<10	-	-	-	-	-	<10
Corporate Adult Foster Care	Determinations	-	-	-	-	-	-	-
Corporate Adult Foster Care	Rapback Determinations	-	-	<10	-	-	-	<10
Substance Use Disorder Treatment	Determinations	-	-	-	-	-	-	-
Substance Use Disorder Treatment	Rapback Determinations	<10	-	<10	-	<10	<10	<10
Licensed Child Care Center	Determinations	-	-	-	-	-	-	-
Licensed Child Care Center	Rapback Determinations	13	-	<10	-	<10	<10	24
DHS Child Foster Care	Determinations	89	<10	-	24	<10	147	270
DHS Child Foster Care	Rapback Determinations	14	-	<10	-	<10	13	35
DHS Children's Residential Facility	Determinations	-	-	-	-	-	-	-
DHS Children's Residential Facility	Rapback Determinations	<10	-	-	-	-	-	<10
Detoxification Program	Determinations	-	-	-	-	-	-	-
Detoxification Program	Rapback Determinations	<10	-	-	-	-	-	<10
Home and Community-Based Services	Determinations	<10	-	-	-	-	-	<10
Home and Community-Based Services	Rapback Determinations	167	<10	-	24	<10	18	100
Residential Mental Health	Determinations	-	-	-	-	-	-	-
Residential Mental Health	Rapback Determinations	-	-	-	-	-	-	-
Personal Care Provider Organization	Determinations	686	60	157	-	117	368	1,388
Personal Care Provider Organization	Rapback Determinations	446	34	60	93	95	274	1,002
Sex Offender Treatment Program	Determinations	-	-	-	-	-	-	-
Sex Offender Treatment Program	Rapback Determinations	-	-	-	-	-	-	-
DHS Educational Program	Determinations	-	-	-	-	-	-	-
DHS Educational Program	Rapback Determinations	<10	-	-	-	-	-	<10
Children's Therapeutic Services and Supports	Determinations	-	-	-	-	-	-	-
Children's Therapeutic Services and Supports	Rapback Determinations	-	-	-	-	-	-	-
Private Child Caring or Placing Agency	Determinations	-	-	-	-	-	-	-
Private Child Caring or Placing Agency	Rapback Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Determinations	-	-	-	-	-	-	-
Residential Services-Physically Disabled	Rapback Determinations	-	-	-	-	-	-	-

Year/Regulatory Agency/ProviderType	Determination Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Unlicensed Home and Community-Based Services	Determinations	<10	-	-	-	-	-	<10
Unlicensed Home and Community-Based Services	Rapback Determinations	<10	-	-	-	-	-	<10
DHS Temporary Employment/Personnel Agency	Determinations	<10	-	-	-	-	-	<10
DHS Temporary Employment/Personnel Agency	Rapback Determinations	-	-	-	-	-	-	-
DHS Corporate Child Foster Care	Determinations	-	-	-	-	-	-	-
DHS Corporate Child Foster Care	Rapback Determinations	<10	-	-	-	<10	<10	<10
Licensed Family Child Care	Determinations	-	-	-	-	-	<10	<10
Licensed Family Child Care	Rapback Determinations	-	-	-	-	-	-	-
Transportation Provider	Determinations	45	<10	<10	-	13	49	114
Transportation Provider	Rapback Determinations	25	<10	<10	-	<10	17	52
Unlicensed Consumer-Directed Community Supports	Determinations	-	-	-	-	-	-	-
Unlicensed Consumer-Directed Community Supports	Rapback Determinations	<10	-	-	-	<10	11	21
Family Adult Foster Care	Determinations	-	<10	-	-	<10	<10	<10
Family Adult Foster Care	Rapback Determinations	-	-	-	-	-	<10	<10
Housing Support	Determinations	<10	<10	<10	-	<10	18	33
Housing Support	Rapback Determinations	<10	-	-	-	-	<10	<10
Legal Non-Licensed Family Child Care	Determinations	-	-	-	-	-	-	-
Legal Non-Licensed Family Child Care	Rapback Determinations	-	-	-	-	<10	-	<10
Certified License-Exempt Child Care Center	Determinations	-	-	-	-	-	-	-
Certified License-Exempt Child Care Center	Rapback Determinations	-	-	-	-	-	<10	<10
Fiscal Management Services	Determinations	-	-	-	-	-	-	-
Fiscal Management Services	Rapback Determinations	<10	-	-	-	<10	<10	<10
DHS Temporary Employment - Child Care	Determinations	-	-	-	-	-	-	-
DHS Temporary Employment - Child Care	Rapback Determinations	-	-	-	-	-	<10	<10
Withdrawal Management	Determinations	-	-	-	-	-	-	-
Withdrawal Management	Rapback Determinations	-	-	-	-	-	-	-
DHS Children's Residential Facility - Title IVE	Determinations	-	-	-	-	-	-	-
DHS Children's Residential Facility - Title IVE	Rapback Determinations	<10	-	-	-	-	-	<10
<b>Grand Total</b>	<b>All</b>	<b>1,939</b>	<b>151</b>	<b>401</b>	<b>97</b>	<b>383</b>	<b>1,482</b>	<b>4,453</b>

## Additional Notes and Data Definitions

1. Background Study **data sources** can include, but are not limited to, state criminal history, federal criminal history, Minnesota Court Information System (MNCIS), Minnesota child and adult maltreatment registries, and the Child Abuse and Neglect Registry (CANR).
2. An **eligible determination** means the background study subject was **not** determined to have committed a disqualifying crime or conduct in [Minn. Stat. sec. 245C.15](#)
3. A **disqualified determination** means the background study subject was determined responsible for a disqualifying crime or conduct in [Minn. Stat. sec. 245C.15](#)
4. A **disqualification rescinded – determination now eligible** determination means the initial determination was disqualifying and became eligible upon appeal.
5. A **background study provider application** is the record created by the provider when submitting a background study request. Background study provider applications can be created by providers at any time. If there is already another background study determination in process for the same person, the new background study application will connect to the in process background study determination (provided the background study requirements are met). In addition, existing background study determinations can transfer to new background study provider application submissions. The connection of background study provider applications to existing background study determinations creates efficiencies for study subjects and providers.
6. For an **undetermined determination**, DHS reviews information that was received but does not make an eligibility or disqualification decision on the determination (hence the determination is “undetermined”).
7. **Active roster** means the list of individuals specific to an entity who have been determined eligible to provide services for the entity and who the entity has identified as affiliated. *See* [Minn. Stat. sec. 245C.02 subd. 17a \(b\)](#)
8. A **rapback notification** (Record of Arrest and Prosecutions Back) is a notification DHS receives about new potentially disqualifying information for a background study subject with an active provider roster affiliation.
9. An **eligible rapback determination** means that the information received through the Rapback process was found to belong to the study subject, but after review was determined to not be a disqualifying crime or conduct.
10. The Race/Ethnicity categories captured in the Background Studies system and used in the summarized data above are collected for purposes of a background study fingerprint study. These categories are determined by the FBI CJIS policy and must be used for fingerprint background studies. DHS does not collect other race/ethnicity data that would allow for different or more detailed break outs of racial or ethnic groups.
11. **Risk of Harm** is the assessed immediate risk of harm the determination subject poses to the persons receiving services that the subject will have direct contact with or direct access to. For the purposes of this data set, risk of harm only refers to the person’s ability to work while the reconsideration period is pending. The term risk of harm in this data set is distinct from risk of harm for purposes of a set aside, which requires a different statutory analysis captured under Minn. Stat. sec. 245C.22 and determines whether a person will be formally “set aside” and allowed to work for a program without supervision.
  - a. **Low:** It is determined that “an individual studied does not pose a risk of harm that requires continuous, direct supervision”. A low risk of harm individual is allowed to continue providing services during their reconsideration request period and has 15 days to request a reconsideration. ([Minn. Stat. sec. 245C.17 subd. 3](#))
  - b. **Medium:** It is determined that “an individual studied poses a risk of harm that requires continuous, direct supervision”. A medium risk of harm individual is allowed to continue providing services under continuous and direct supervision during their reconsideration request period and has 30 days to request a reconsideration. ([Minn. Stat. sec. 245C.17 subd. 3](#))
  - c. **High:** It is determined that “an individual studied poses an imminent risk of harm”. A high risk of harm individual must be immediately removed from service and has 30 days to request a reconsideration. ([Minn. Stat. sec. 245C.17 subd. 3](#))

- d. **Not Applicable:** The risk of harm category in cases where an individual is not allowed to provide services until either an eligible determination, a set aside, or a variance has been obtained